



Attorney Docket No.: 005-010

15  
3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SEPETKA et al.  
Serial No. : 10/055,714  
Filed : January 22, 2002  
Title : SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS FROM A BLOOD VESSEL

Art Unit : 3731  
Examiner : Kevin Thao Truong

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


PETITION TO CORRECT INVENTORSHIP  
INVENTOR'S DECLARATION

Dan Nguyen hereby declares:

1. That he is an/the original named inventor of the noted patent application.  
2. That through error, without any deceptive intention on his/her part or that of any actual inventor, the above-captioned application was filed naming Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo rather than Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo, **Dan Nguyen** and Emily Vu. This error was discovered after the application was filed.

3. That all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 12/14/04

  
Dan Nguyen  
4021 Hobart Avenue  
San Jose, CA 95127  
Title: \_\_\_\_\_

Jens E. Hoekendijk  
P.O. Box 4787  
Burlingame, CA 94011-4787  
Telephone: 415-412-3322  
Facsimile: 650-871-7688

01/04/2005 HAL111 00000037 501247 10055714  
01 FC:1464 130.00 DA

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

12/28/2004  
Date of Deposit

  
Eric L. Canonizado



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SEPETKA et al.  
Serial No. : 10/055,714  
Filed : January 22, 2002  
Title : SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS FROM A BLOOD VESSEL

Art Unit : 3731  
Examiner : Kevin Thao Truong

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO CORRECT INVENTORSHIP  
INVENTOR'S DECLARATION

Emily Vu hereby declares:

1. That s/he is an/the original named inventor of the noted patent application.
2. That through error, without any deceptive intention on his/her part or that of any actual inventor, the above-captioned application was filed naming Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo rather than Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo, Dan Nguyen and **Emily Vu**. This error was discovered after the application was filed.
3. That all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: December 14, 2004

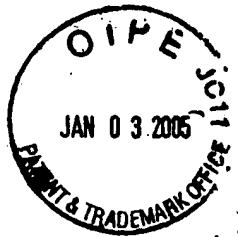
Emily Vu  
Emily Vu  
932 Ivy Glen Drive  
San Jose, CA 95133  
Title: Senior R & D Technician

Jens E. Hoekendijk  
P.O. Box 4787  
Burlingame, CA 94011-4787  
Telephone: 415-412-3322  
Facsimile: 650-871-7688

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Date of Deposit: 12-18-2004  
Erica L. Canonizado  
Erica L. Canonizado



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SEPETKA et al.                      Art Unit : 3731  
Serial No. : 10/055,714                      Examiner : Kevin Thao Truong  
Filed : January 22, 2002  
Title : SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS  
FROM A BLOOD VESSEL

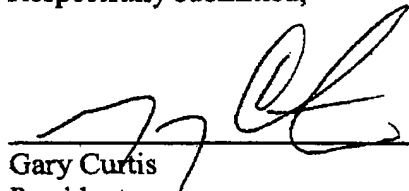
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO CORRECT INVENTORSHIP  
COMPANY OFFICER'S DECLARATION

As an officer of the concern to which the noted application has been assigned, I hereby consent to the correction of inventorship of this application from the naming of Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo to the naming of Ivan Sepetka, Martin Dieck, Son Gia, John Miller, Ryan Pierce, Maria Aboytes, Tiffany Tran Ngo, Dan Nguyen and Emily Vu.

Respectfully submitted,

Date: 12/15/07

  
\_\_\_\_\_  
Gary Curtis  
President

Jens E. Hoekendijk  
P.O. Box 4787  
Burlingame, CA 94011-4787  
Telephone: 415-412-3322  
Facsimile: 650-871-7688

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

12-28-2007  
Date of Deposit

  
\_\_\_\_\_  
Erica L. Canonizado



Attorney Docket No.: 005-010

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SYSTEMS, METHODS AND DEVICES FOR REMOVING OBSTRUCTIONS FROM A BLOOD VESSEL

the specification of which was filed on January 22, 2002 as application Serial No. 10/055,714.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s)

<u>Application No.</u>	<u>Country</u>	<u>Date of Filing</u>	<u>Priority Claimed Under 35 USC 119</u>
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I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

<u>Application No.</u>	<u>Filing Date</u>	<u>Status</u>
------------------------	--------------------	---------------

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Date of Filing</u>	<u>Status</u>
09/891,141	June 25, 2001	Allowed
09/756,476	January 8, 2001	Issued
09/605,143	June 29, 2000	Issued

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Jens E. Hoekendijk, Reg. No. 37,149

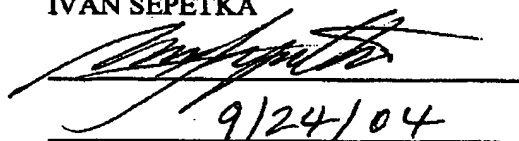
<b>Send Correspondence to:</b>  Jens E. Hoekendijk P.O. Box 4787 Burlingame, CA 94011-4787	<b>Direct Telephone Calls to:</b>  Jens E. Hoekendijk Reg. No. 37,149 Telephone: (415) 412-3322
--	---

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or one  
joint inventor:

IVAN SEPETKA

Inventor's signature:

  
9/24/04

Date:

Residence & Post Office Address:

346 Costello Court  
Los Altos, CA 94024  
United States

Citizenship:

Full name of sole or one  
joint inventor:

MARTIN DIECK

Inventor's signature:

\_\_\_\_\_

Date:

Residence & Post Office Address:

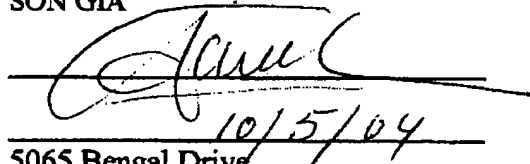
21105 Hazelbrook Drive  
Cupertino, CA 95014  
United States

Citizenship:

Full name of sole or one  
joint inventor:

SON GIA

Inventor's signature:

  
10/5/04

Date:

Residence & Post Office Address:

5065 Bengal Drive  
San Jose, CA 95111  
United States

Citizenship:

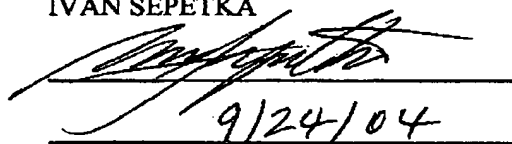
<b>Send Correspondence to:</b>  Jens E. Hoekendijk P.O. Box 4787 Burlingame, CA 94011-4787	<b>Direct Telephone Calls to:</b>  Jens E. Hoekendijk Reg. No. 37,149 Telephone: (415) 412-3322
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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or one  
joint inventor:

IVAN SEPETKA

Inventor's signature:

  
9/24/04

Date:

Residence & Post Office Address:

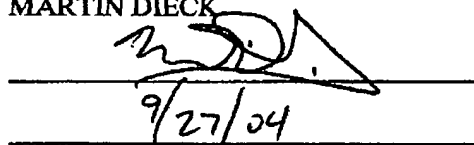
346 Costello Court  
Los Altos, CA 94024  
United States

Citizenship:

Full name of sole or one  
joint inventor:

MARTIN DIECK

Inventor's signature:

  
9/27/04

Date:

Residence & Post Office Address:

21105 Hazelbrook Drive  
Cupertino, CA 95014  
United States

Citizenship:

Full name of sole or one  
joint inventor:

SON GIA

Inventor's signature:

\_\_\_\_\_

Date:

Residence & Post Office Address:

5065 Bengal Drive  
San Jose, CA 95111  
United States

Citizenship:

Full name of sole or one  
joint inventor:

JOHN MILLER

Inventor's signature:

John Miller

Date:

9/27/04

Residence & Post Office Address:

603 Vera Avenue  
Redwood City, CA 94061  
United States

Citizenship:

Full name of sole or one  
joint inventor:

RYAN PIERCE

Inventor's signature:

Ryan Pierce

Date:

09/27/2004

Residence & Post Office Address:

728 Calderon Avenue  
Mountain View, CA 94041  
United States

Citizenship:

Full name of sole or one  
joint inventor:

MARIA ABOYTES

Inventor's signature:

Maria Aboytes

Date:

12/15/04

Residence & Post Office Address:

915 Colorado Avenue  
Palo Alto, CA 94303  
United States

Citizenship:

Full name of sole or one  
joint inventor:

TIFFANY TRAN NGO

Inventor's signature:

Tiffany Tran Ngo

Date:

9/27/04

Residence & Post Office Address:

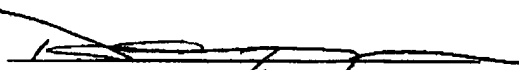
2108-D Galveston Avenue  
San Jose, CA 95122  
United States

Citizenship:

Full name of sole or one  
joint inventor:

DAN NGUYEN

Inventor's signature:



Date:

12/14/04

Residence & Post Office Address:

4021 Hobart Avenue  
San Jose, CA 95127  
United States

Citizenship:

Full name of sole or one  
joint inventor:

EMILY VU

Inventor's signature:



Date:

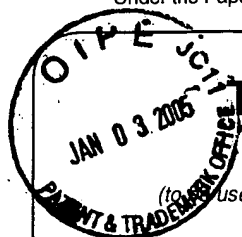
December 14, 2004

Residence & Post Office Address:

932 Ivy Glen Drive  
San Jose, CA 95133  
United States

Citizenship:





# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/055,714
		Filing Date	January 22, 2002
		First Named Inventor	SEPTEKA et al.
		Group Art Unit	3731
		Examiner Name	Kevin Thao Truong
Total Number of Pages in this Submission	10	Attorney Docket Number	005-010

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  <div style="border: 1px solid black; padding: 5px; width: fit-content;">         Declaration and POA Confirmation postcard          Petitions to Correct Inventorship       </div>
<div style="border: 1px solid black; padding: 5px;">         Remarks       </div>		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Jens E. Hoekendijk, Reg. No. 37,149
Signature	
Date	December 28, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Petition Commissioner for Patents, Arlington, VA 22313-1450 on this date: <u>December 28, 2004</u>		
Name (Print/Type)	Erica L. Canonizado	
Signature		Date December 28, 2004



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

## Complete if Known

Application Number 10/055,714  
Filing Date January 22, 2002  
First Named Inventor SEPETKA et al.  
Examiner Name Kevin Thao Truong  
Art Unit 3731  
Attorney Docket No. 005-010

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

50-1247

Deposit  
Account  
Name

Jens E. Hoekendijk

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims						
Independent Claims						
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\* or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Petition Fee

130

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$) 130

### SUBMITTED BY

### Complete (if applicable)

Name (Print/Type)	Jens E. Hoekendijk	Registration No. (Attorney/Agent)	37,149	Telephone	415-412-3322
Signature				Date	December 28, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.